LIGHT SOURCE MONITORING APPARATUS

Invented by

Phillip J. Edwards

a resident of 6721 Positano Lane San Jose, California 95138

Bradley S. Levin

a resident of 628 Forest Avenue, Apt. C Palo Alto, California 94301

Michael M. O'Toole

a resident of 5913 Foligno Way San Jose, California 95138

-and-

Joseph John Vandenberg

a resident of 415 North Lark Ellen Avenue West Covina, California 91791

> all citizens of the United States

	1	LIGHT SOURCE MONITORING APPARATUS									
	2										
	3	CROSS REFERENCE TO RELATED APPLICATION									
	4										
	5	This application claims the benefit of U.S.									
	6	Provisional Application No. 60/275,091, filed 12 March									
	7	2001.									
::::::::::::::::::::::::::::::::::::::	8										
	9	FIELD OF THE INVENTION									
	10										
14.) 14.)	11	This invention relates to light sources used in									
: :=: :=: :=:	12	optoelectric modules and the like.									
	13										
	14	More particularly, the present invention relates to									
	15	light source monitoring apparatus used in optoelectric									
	16	modules and other electronic equipment.									
	17										
	18										
	19	BACKGROUND OF THE INVENTION									
	20										
	21	In optical-to-electrical and electrical-to-optical									
	22	(hereinafter "optoelectric") modules used in the various									
	23	communications fields, one of the most difficult problems									
	24	that must be solved is the efficient transmission of light									

between a light generating device and an optical fiber or, alternatively, the transmission of light from the optical 2 fiber to a light receiving device. Here it will be 3 understood by those skilled in the art that the term 4 term which includes generic any 5 is a electromagnetic radiation that be modulated 6 can and transmitted by optical fibers or other optical transmission 7 8 lines. 9 Because of light losses due to misalignment of optical 10 components and other losses in the system, it is often 11 necessary to drive light sources, such as lasers, light 12 emitting diodes, etc. harder (i.e., provide more current) to provide sufficient light for proper operation. Also, because of minor changes in alignment of components, 15 differences in components (although they may still be 16 17 18 19

within a specified tolerance), (ageing) of components and especially the light source itself, and other factors, it is often necessary to change the amount of drive to the light sources between similar optoelectric apparatus and in 20 a specific apparatus over a lifetime. For example, it is 21 light output that the 22 well known in the art semiconductor lasers (including vertical cavity surface 23

- 1 emitting lasers 'VCSELs', edge emitting lasers, etc.)
- 2 varies with changes in conditions.

- 4 It is understood by those skilled in the art that too
- 5 much drive to a light source results in wasted power,
- 6 overheating, reduced life cycle, and other problems.
- 7 However, too little drive to a light source results in
- 8 improper operation, possible loss of information in data
- 9 systems, random errors, and many other problems. Thus, it
- 10 is highly desirable to provide drive to light sources that
- Il is as close to optimum as possible. To achieve this result
- 12 it is generally desirable to continuously monitor the
- 13 output of the light source to ensure a constant level of
- 14 light output. A variety of light source monitors have been
 - 15 proposed in the prior art. However, these prior art
 - 16 monitoring systems are generally complicated and expensive
 - 17 to incorporate into optoelectric modules and other
 - 18 apparatus.

19

- It would be highly advantageous, therefore, to remedy
- 21 the foregoing and other deficiencies inherent in the prior
- 22 art.

which allows the use of a variety of components and

:±i;

J.

rais

lagi:

14.

14

15

component materials.

sal:	
 1	
[22]	
11211	1
:==::	
Ţ	1
12 211	
ľď,	1
3 E	
(***; -#;	1
sal:	_
sul;	1
ļas i:	1
122	1

ľ.

3	Briefly, to achieve the desired objects of the present
4	invention in accordance with a preferred embodiment
5	thereof, provided is light source monitoring apparatus
6	including a light source designed to produce a beam of
7	light. The light source includes drive electronics
8	connected to the light source to supply a desired amount of
9	drive current to the light source. A monitor diode is
10	connected to the drive electronics to control the amount of
11	drive current supplied to the light source by the drive
12	electronics. A lens system is positioned to receive the
13	beam of light from the light source and transmit
14	substantially all of the beam of light to a light terminal.
15	The lens system includes an optical element and a light
16	reflecting surface on the optical element positioned to
17	reflect a portion of the beam of light onto the monitor

18 diode.

19

In a preferred embodiment the lens system includes a pair of lens elements defining an optical axis and directing light from the light source into an optical fiber. A first of the lens elements is positioned along the optical axis adjacent the light source and a second of the lens elements is positioned along the optical axis adjacent the light reflecting surface

- 1 can be, for example, the back of the second lens element or
- 2 it can be a third optical element and positioned along the
- 3 optical axis and between the first and second lens elements
- 4 to reflect a portion of the beam of light at an angle to
- 5 the optical axis onto the monitor diode. Further, the
- 6 first and second lens elements (and the adjacent light
- 7 source and light terminal, respectively) can be housed in
- 8 separate structural portions that are later assembled to
- 9 form a complete unit.

1			BRI	EF DE	SCRII	PTION C	F THE	E DRAW	INGS	
2										
3		The	foregoi	ng ai	nd f	urther	and	more	specific	objects
4	and	adva	antages	of	the	inven	tion	will	become	readily

apparent to those skilled in the art from the following 5

detailed description of a preferred embodiment thereof, 6

taken in conjunction with the drawings in which: 7

8

|ali

[= 1 | = 1 14.

FIG. 1 is a sectional view of a simplified 9 optoelectric module including an embodiment of a light 10 11 source monitoring system in accordance with the present invention; and 12

13

FIG. 2 is an enlarged secional view of a portion of an optoelectric module including another embodiment of a light 15 source monitoring system in accordance with the present 16

17 invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

2

1

The present invention pertains to new and improved 3 light source monitoring apparatus for telecommunication and 4 data communication apparatus and the like and in particular 5 for optoelectric modules. Turning to FIG. 1, a sectional 6 view is illustrated of a simplified optoelectric module 10 in accordance with the present invention. As stated above, 8 10! the term "optoelectric" is used herein to denote the fact 9 that module 10 can be either an optical-to-electrical or 10 electrical-to-optical module and will generally, include 11 It will be understood that, since light 12 both channels. 13 source monitoring apparatus is being disclosed, the major 13 in the electrical-to-optical is 14 light sources use of portion of the module, which applies to opposite ends of in 15 both channels. 16

17

-Module 10 of FIG. 1 includes a receptacle assembly 11 -1-8and an optoelectric package 12 each forming a separate 19 fabrication, module 10. After portion of 20 structural receptacle assembly 11 and optoelectric package 12 are 21 aligned and affixed together, as will be disclosed in more 22 Receptacle assembly 11 is designed to detail below. 23 receive an optical fiber 14 in communication therewith, in 24 manner that will become clear presently. In 25 preferred embodiment, optical fiber 14 is a single mode 26

ij. Ħ ını. jani 15

fiber (the use of which is one of the major advantages of 1 the present invention) including a glass core 15 and a 2 Receptacle assembly 11 includes an 3 cladding layer 16. elongated cylindrical ferrule 20 defining a fiber receiving 4 opening 21 at one end and a mounting flange 22 at the 5 opposite end. 6 7 Progressing from end 21 toward end 22, ferrule 20 has 8 two radially outwardly directed steps 32 and 33. 9 provides a surface or stop for the mounting of an optical 10 spacer 35 and step 33 provides a surface or a stop for the 11 positioning of an optical lens element or assembly 36. 12 this preferred embodiment, lens assembly 36 is formed of 13 plastic and may be, for example, molded to simplify 14 manufacturing of module 10. It should be understood that the term "plastic" is used herein as a generic term to 16 describe any non-glass optical material that operates to 17 transmit optical beams of interest therethrough and which 18 can be conveniently formed into lenses and the like. 19 example, in most optical modules used at the present time 20 the optical beams are generated by a laser that operates in 21 the infra-red band and any materials that transmit this 22 light, including some oxides and nitrides, come within this 23 24 definition. 25 Lens assembly 36 defines a central opening for the 26

transmission of light therethrough from an end 37 to an 27

opposite end 38. A lens 39 is integrally formed in the central opening a fixed distance from end 38. In this specific embodiment, lens assembly 36 is formed with radially outwardly projecting ribs or protrusions in the outer periphery so that it can be press-fit into ferrule 20 tightly against spacer 35.

7

Thus, lens assembly 36 is frictionally held in place 8 within ferrule 20 and holds spacer 35 fixedly in place. 9 Also, lens 39 is spaced a fixed and known distance from 10 spacer 35. In this preferred embodiment, optical fiber 14 11 in inserted into ferrule 20 so that glass core 15 buts 12. 35, which substantially reduces 13 spacer suppresses return reflections. Further, by forming spacer 14 35 of glass material with an index of refraction similar to the index of refraction of glass core 15, spreading of the light beam is substantially reduced and lower optical power 17

is_required_to_collimate_the_beam.___

19

-1-8-

20 Optoelectric package 12 includes a base or plate 40 and a mounting plate 42 positioned thereon. 21 or more spacer rings 43 may be positioned on plate 42 to 22 provide sufficient distance for components mounted thereon. 23 A light source (hereinafter laser 45) is mounted on the 24 upper surface of mounting plate 42 and positioned to 25 transmit light generated therein to a lens element or block 26 46, including a curved reflecting lens surface 49. In this 27

embodiment, laser 45 may be, for example, any of the well known lasers, light emitting diodes, etc. Lens block 46 is mounted on mounting plate 42 by some convenient means, such as outwardly extending ears (not shown). A ring 47 is positioned on spacer rings 43 and a cap or cover 48 is affixed to ring 47.

an in

12 211

Generally, the entire assembly, including plate 40, mounting plate 42, spacer rings 43, ring 47 and cover 48 are fixedly attached together by some convenient means, such as welding, gluing, etc. so that laser 45 is enclosed in a hermetically sealed chamber. However, a hermetic seal is not necessary in many embodiments in which a laser or photodiode are used that is either separately sealed or is not sensitive to atmospheric conditions. Connections to the electrical components discussed herein can be by pigtail or by coupling through plate 40. Also, some circuitry and connections can be incorporated into plate 40 and mounting plate 42, if desired.

1.8

Here it should be understood that fixing lens surface
49 relative to laser 45 accurately determines the distance
between lens surface 49 and laser 45. Also, fixing lens 39
to optical fiber 14 accurately determines the distance
between lens 39 and optical fiber 14. Because these are
short distances (on the order of microns), they can be
determined relatively accurately. However, the distance

rah rai: 15 16

between lens 39 and lens surface 49 is less critical, which 1 provides substantially relaxed tolerances for module 10 and 2 for the assembling thereof. The distance between lens 39 3 and lens surface 49 is not critical because the light is 4 collimated and slight variances in axial position simply 5 produce a small amount of light loss. Also, 6 differences in the relative positions along optical axis Z 7

9

8

have little or no effect.

A window 50 is sealed in cover 48 so as to be aligned 10 Lens block 46 includes a curved 11 with lens block 46. reflecting lens surface 49 that redirects light from laser 12 45 at a ninety degree angle out through window 50. 13 14 window 50 is illustrated and described as a simple window that allows the transmission of light therethrough, it will be understood that it is an optical element that may include one or more lenses or optical surfaces in specific 17 applications. Further, window 50 is affixed to the 18 underside of cover 48 by some convenient means, such as 19 epoxy or other adhesive, so as to hermetically seal the 20 light transmitting opening through cover 48. Generally, 21 and especially when a hermetic seal is not required, window 22 50 can be formed (e.g. molded) from plastic. 23 applications, lens block 46 may also be molded from plastic 24 manufacturing. Also, in some 25 for convenience in applications it may be convenient to provide a light source 26 (e.g. a VCSEL or LED) that emits directly along optical 27

9 10 al: ani: zni:

axis Z, thereby omitting lens block 46 from the lens 1 system. In such a system it may be convenient to replace 2 window 50 with one or more lenses. 3 4 Optoelectric package 12 is affixed to receptacle 5 assembly 11 with flange 22 of ferrule 20 butting against 6 the upper surface of cover 48. Further, optoelectric 7 package 12 is optically aligned with receptacle assembly 11 8 so that light from laser 45 is directed into core 15 of optical fiber 14 along optical axis Z. This alignment can be accomplished in different ways but one reliable method is known as active alignment. In this process, laser 45 is 12 is positioned and receptacle assembly 11 activated 13 approximately over optoelectric package 12. The light in 14 15 optical fiber 14 is measured and the alignment is adjusted 16 When maximum light is light. for maximum alignment has been achieved and receptacle assembly 11 is 17 fixed to optoelectric package 12 by some convenient means, 18_ such as welding or adhesive. Because of the separate 19 structural portions, in most applications this alignment 20 and assembly can be accomplished quickly and easily using 21 22 machines. 23 Module 10 includes light source monitoring apparatus 24

associated with laser 45. As stated above, because the 25 light output of semiconductor lasers (including vertical 26 cavity surface emitting lasers 'VCSELs', edge emitting 27

aalı 711 即 12 13 14 14,

25

26

1 lasers, etc.) varies with changes in conditions, often desirable to include apparatus for measuring the 2 output of the laser and using that measurement to adjust 3 the laser to keep the output constant. In this embodiment, 4 the light source monitoring apparatus includes a monitor 5 diode 56 mounted on the surface of mounting plate 42. 6 "monitor will be understood that the term 7 any convenient device electronic 8 represents photodiode, pin diode, PN diode, etc.) capable of receiving 9 light at the wavelength of interest and converting the 10 received light to electrical signals representative of the strength or amount of received light. Also, a light reflecting surface 58 is provided in the 15 lens system of module 10 and positioned to reflect a portion of the beam of light from laser 45 onto monitor 16 In this specific embodiment, light reflecting 17 diode 56. surface 58 is formed as a portion of lens 39 in lens -1-8-In this preferred embodiment, during the 19 assembly 36. formation of lens assembly 36, lens 39 is formed with a 20 substantially flat light inlet surface 58 that is tilted or 21 angled slightly at an angle α with respect to optical axis 22 Angle α is adjusted, both in the amount of the angle 23 and in the direction of the angle so that a constant amount 24

of light is reflected. The amount of angle α of surface 58

is determined during fabrication of lens assembly 36 and

anlı 9 Ç. | cali 16

the direction of angle α can be adjusted during assembly by 1 rotating lens assembly 36 within ferrule 20.

3

2

Here it should be specifically noted that surface 58 4 is positioned a relatively long distance from lens block 46 5 (since this distance has little or no effect on the 6 operation of module 10) so that angle α is relatively small 7 and has a minimum effect on light traveling along optical 8 axis Z to optical fiber 14. That is, by minimizing angle α the amount of light that is reflected from the main beam is minimized and the efficiency of the entire system (module 10) remains high. In fact, in some applications, the light 12 inlet surface of lens 39 may be formed (e.g., convex or 13 concave) so that a small amount of natural reflection will 14 be received by monitor diode 56 and additional surfaces may 15 not be required to provide a reflecting surface.

17

Turning now to FIG. 2, an optoelectric package 12' of 18 a module 10' is illustrated including another embodiment of 19 light source monitoring system in accordance with the 20 present invention. Components in this embodiment which are 21 similar to components in the embodiment of FIG. 1 are 22 designated with similar numbers and all of the numbers have 23 a prime added to indicate the different embodiment. 24

25

Optoelectric package 12' includes a base or support 26 plate 40' and a mounting plate 42' positioned thereon. 27

- 1 or more spacer rings 43' may be positioned on plate 42' to
- 2 provide sufficient distance for components mounted thereon.
- 3 A light source (hereinafter laser 45') is mounted on the
- 4 upper surface of mounting plate 42' and positioned to
- 5 transmit light generated therein to a lens element or block
- 6 46'. In this embodiment, laser 45' may be, for example, any
- 7 of the well known lasers, light emitting diodes, etc. Lens
- 8 block 46' is mounted on mounting plate 42' by some
- 9 convenient means, such as outwardly extending ears (not
- 10 shown). A ring 47' is positioned on spacer rings 43' and a
- 11 cap or cover 48' is affixed to ring 47'.

12

- 13 A window 50' is sealed in cover 48' so as to be
- 14 aligned with lens block 46'. Lens block 46' includes a
- 15 curved reflecting surface 49' that redirects light from
- 16 laser 45' at a ninety degree angle out through window 50'.
 - 17 While window 50' is illustrated and described as a simple
 - 18 window—that—allows—the—transmission_of_light_therethrough,
 - 19 it will be understood that it is an optical element that
 - 20 may include one or more lenses or optical surfaces in
 - 21 specific applications.

22

- 23 Module 10' includes light source monitoring apparatus
- 24 associated with laser 45'. As stated above, because the
- 25 light output of semiconductor lasers (including vertical
- 26 cavity surface emitting lasers 'VCSELs', edge emitting
- 27 lasers, etc.) varies with changes in conditions, it is

22

23

often desirable to include apparatus for measuring the 1 output of the laser and using that measurement to adjust 2 the laser to keep the output constant. In this embodiment, 3 the light source monitoring apparatus includes a monitor 4 diode 56' mounted in a cavity 57' in mounting plate 42'. 5 Here it will be understood that the term "monitor diode" 6 any convenient electronic device 7 represents photodiode, pin diode, PN diode, etc.) capable of receiving 8 light at the wavelength of interest and converting the 9 received light to electrical signals representative of the 10 strength or amount of received light. Also, a light reflecting surface 59' is provided in the lens system of module 10' and positioned to reflect a portion of the beam of light from laser 45' onto monitor 16 diode 56'. In this specific embodiment, light reflecting surface 59' is the light inlet surface of window 50'. 17 this preferred embodiment, during the assembly of -1-8optoelectric package 12', window 50' is tilted or angled 19 slightly at an angle with respect to optical axis Z. 20 angle is adjusted so that a constant amount of light is 21

24 the direct emitting light sources in which lens block 46'

understood that slanted window 50' can be used with any of

Here

it will

reflected onto monitor diode 56'.

25 is not used. Also, window 50' can be positioned at any

26 convenient distance from lens block 46' or the light source

įĮ 14 ļani: 16

to optimize the reflection angle and the amount 1 unreflected light passing through window 50'. 2

3

the amount of reflected light received While 4 monitor diode 56' is generally not critical, 5 the reflected light is a constant 6 desireable that percentage of the total light and sufficient to produce a 7 angle can be determined control signal. Thus, an 8 emperically and that angle can simply be built-into cover 9 In some specific applications, the amount of the 10 48′. angle can be adjusted during assembly by moving window 50' 11 within the opening in cover 48'. Also, in some specific 12 applications, window 50' can be fabricated with a slanted 13 light inlet surface.

_{j.s.i.} 15

17

-1.8-

19

20

21

22

23

24

25

26

In any of the above described light source monitoring apparatus, the light source includes drive electronics, either internally or associated therewith (e.g. in an electronic module) and connected to the light source to supply an amount of drive current to the light source. monitor diode is connected to the drive electronics so as to control the amount of drive current supplied to the light source by the drive electronics. Thus, the amount of light generated or produced by the light source can be and between different maintained constant over time modules.

est: 12211 ı...l:

Accordingly, new and improved light source monitoring 1 apparatus are disclosed which are capable of controlling a 2 light source to produce a constant output under varying 3 conditions and which, thereby, improve the efficiency of 4 optical systems. Because a pair of lenses are incorporated 5 that are fixed relative to a light source and a light 6 receiving structure, respectively, the distance along the Z 7 axis between the pair of lenses is not critical, which 8 of a reflecting surface placement 9 allows the distance from the light source. 10 convenient manufacturing tolerances can be substantially reduced, Ü 11 substantially reducing manufacturing time, labor, 12 Further, the new and improved optical alignment 13 features allow the use of a variety of components and 14 component materials (e.g. plastic lenses and other optical 15 16 components).

17

Various changes and modifications to the embodiments 18 herein chosen for purposes of illustration will readily 19 occur to those skilled in the art. To the extent that such 20 modifications and variations do not depart from the spirit 21 of the invention, they are intended to be included within 22 the scope thereof which is assessed only by a 23 24 interpretation of the following claims.

- 1 Having fully described the invention in such clear and
- 2 concise terms as to enable those skilled in the art to
- 3 understand and practice the same, the invention claimed is: